This brochure supplement provides information about James Michael O'Donoghue that supplements the Compass Rose Investments, LLC d/b/a Compass Rose Private Investment Management brochure. You should have received a copy of that brochure. Please contact James Michael O'Donoghue if you did not receive Compass Rose Investments, LLC d/b/a Compass Rose Private Investment Management's brochure or if you have any questions about the contents of this supplement.

Additional information about James Michael O'Donoghue is also available on the SEC's website at www.adviserinfo.sec.gov.

# Compass Rose Investments, LLC d/b/a Compass Rose Private Investment Management

Form ADV Part 2B - Individual Disclosure Brochure

for

James Michael O'Donoghue

Personal CRD Number: 3092644 Investment Adviser Representative

Compass Rose Investments, LLC d/b/a Compass Rose Private Investment Management
110 Chapel St.
Portsmouth, NH 03801
(603) 319-8211
jim@compassrosepim.com

UPDATED: 3/24/2022

## Item 2: Educational Background and Business Experience

Name: James Michael O'Donoghue Born: 1953

**Educational Background and Professional Designations:** 

#### **Education:**

Ph.D. Finance and Economics, New York University Masters City Planning, Boston University BA Government and Policy Analysis, University of Massachusetts, Amherst

#### **Business Background:**

06/2011 - Present Partner

Compass Rose Investments, LLC d/b/a Compass

Rose Private Investment Management

06/2011 - 05/2017 Investment Adviser Representative/

Registered Representative

Wells Fargo Advisors Financial Network, LLC

10/2003 - 06/2011 Vice President

Wells Fargo Advisors

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

James Michael O'Donoghue is owner of RoxyJames Realty, LLC; a real estate business that holds title to the building that houses Compass Rose Investments, LLC d/b/a Compass Rose Private Investment Management. He receives no compensations from RoxyJames, LLC.

#### **Item 5: Additional Compensation**

James Michael O'Donoghue does not receive any economic benefit from any person, company, or organization, other than Compass Rose Investments, LLC d/b/a Compass Rose Private Investment Management in exchange for providing clients advisory services through Compass Rose Investments, LLC d/b/a Compass Rose Private Investment Management.

#### **Item 6: Supervision**

As a representative of Compass Rose Investments, LLC d/b/a Compass Rose Private Investment Management, James Michael O'Donoghue is supervised by Benjamin Beck, the firm's Chief Compliance Officer. Benjamin Beck is responsible for ensuring that James Michael O'Donoghue adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Benjamin Beck is (617) 209-2224.